

2/14/07



Docket No.: SHO-0042  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Kazuo OKADA

Application No.: 10/697,081

Confirmation No.: 9728

Filed: October 31, 2003

Art Unit: 3713

For: GAMING MACHINE

Examiner: X. M. Thai

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Submitted herewith on Form PTO-1449 or PTO/SB/08 is a listing of documents known to Applicant in order to comply with Applicant's duty of disclosure pursuant to 37 CFR 1.56. Applicant respectfully requests that the listed documents be considered by the Examiner and formally be made of record in the present application and that an initialed copy of Form PTO-1449 or PTO/SB/08 be returned in accordance with MPEP §609.

- ☒ A copy of each listed document is being submitted to comply with the provisions of 37 CFR §§1.97 and 1.98
- ☒ excluding a copy of U.S. patent or U.S. patent application publication as waived by the U.S. Patent and Trademark Office, since this application was filed after June 30, 2003 or has entered the national stage under 35 U.S.C. § 371 after June 30, 2003 (1276 OG 55).

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicant does not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* prior art reference against the claims of the present application.

- ☒ 1. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits in the present application. **No fee is required** (37 C.F.R. §1.97(b)). The Patent Office is **NOT AUTHORIZED** to charge deposit account 18-0013 under 37 CFR §§ 1.97(c)(2) and 1.17(p). However, **ONLY IF** a first Office Action on the merits was mailed before filing of this Information Disclosure Statement, please charge deposit account 18-0013 in the amount of \$180.00 for payment of the fee under 37 CFR §§ 1.97(c)(2) and 1.17(p).
- ☒ 2. Please charge any fee deficiency or credit any overpayment to Deposit Account No. 18-0013 as needed to ensure consideration of the disclosed information.

Dated: January 8 2007

Respectfully submitted,

By 

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Attorney for Applicant



PTO/SB/08A/B (09-06)

Approved for use through 03/31/2007. OMB 0651-0031

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Substitute for form 1449/PTO				<b>Complete if Known</b>	
				Application Number	10/697,081-Conf. # 9728
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	October 31, 2003
				First Named Inventor	Kazuo OKADA
				Art Unit	3713
				Examiner Name	X. M. Thai
				Attorney Docket Number	SHO-0042
Sheet	1	of	1		

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US 4,718,672	01/12/1988	Kazuo OKADA	
	AB	US 5,372,745	12/13/1994	Kazuo YOSHINAGA et al.	
	AC	US 5,636,101	06/03/1997	Glen D. BONSALL et al.	
	AD	US 5,697,843	12/16/1997	J. MANSIP et al.	
	AE	US 6,135,884	10/24/2000	Joseph R. HEDRICK et al.	
	AF	US 6,219,228 B1	04/17/2001	Ming-Shen M. SUN	
	AG	US 2001/0031658	10/18/2001	Masaaki OZAKI et al.	
	AH	US 2003/0016313 A1	01/23/2003	Seung-Chul JEONG	
	AI	US 6,517,433 B2	02/11/2003	Timothy C. LOOSE et al.	
	AJ	US 2003/0060269 A1	03/27/2003	Craig PAULSEN et al.	
	AK	US 2003/0130028 A1	07/10/2003	Eiji AIDA et al.	
	AL	US 6,600,527 B1	07/29/2003	Naci BASTURK et al.	
	AM	US 6,802,575	10/12/2004	Harry P. LEE	
	AN	US 6,817,946 B2	11/16/2004	Satoshi MOTEGI et al.	
	AO	US 6,820,875 B1	11/23/2004	Joseph R. HEDRICK et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature		Date Considered	
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